



IT2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Young-Joon Rhee; Dong-Ho Lee; Chung-Hyo Lee  
Assignee: Samsung Electronics Co., Ltd.  
Title: Four Color Liquid Crystal Display And Panel Therefor  
Application No.: 10/799,396 Filing Date: March 12, 2004  
Examiner: Lucy P. Chien Group Art Unit: 2871  
Docket No.: AB-1355 US Confirmation No.: 7324

Irvine, California  
September 10, 2007

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §§1.56, 1.97 and 1.98**

Sir:

Pursuant to 37 CFR § 1.56, § 1.97 and § 1.98, the documents listed on the accompanying form PTO-1449 are called to the attention of the Examiner for the above patent application.

Citation of these documents shall not be construed as:

- (1) an admission that the documents are necessarily prior art with respect to the instant invention;
- (2) a representation that a search has been made, other than as described above; or
- (3) an admission that the information cited herein is, or is considered to be material to patentability.

***Enclosed with this statement are the following:***

- ☒ Form PTO-1449 (*Substitute*). The Examiner is requested to initial the form and return it to the undersigned in accordance with M.P.E.P. §609.
- ☒ A copy of each cited document as required by 37 C.F.R. §1.98 (*except where otherwise indicated*).

Complete copies are not submitted of U.S. patents and U.S. patent application publications per 37 C.F.R. §1.98(a)(2)(ii), and copies are not submitted of documents already cited or submitted in a parent application from which benefit under 35 U.S.C. §120 is claimed per 37 C.F.R. §1.98(d).

***This statement should be considered because:***

- ☐ This statement qualifies under 37 C.F.R. §1.97, subsection (b) because:
- ☐ It is being filed within 3 months of the application filing date of a national application other than a continued prosecution application under §1.53(d);  
-- OR --
  - ☐ It is being filed within 3 months of entry of the national stage as set forth in §1.491 in an international application;  
-- OR --
  - ☐ It is being filed before the mailing date of a first Office action *on the merits*;  
-- OR --
  - ☐ It is being filed before the mailing date of a first Office action *after the filing of an RCE under §1.114*.

whichever occurs last.

- ☒ Although it may not qualify under subsection (b), this statement qualifies under 37 C.F.R. §1.97, subsection (c) because:
- (1) It is being filed before the mailing date of a FINAL Office Action and before a Notice of Allowance or another action closing prosecution (whichever occurs first);  
-- AND (check at least one of the following) --
  - ☒ (1) It is accompanied by the \$180 fee set forth in 37 C.F.R. §1.17(p);  
-- OR --
  - ☐ (2) Pursuant to 37 C.F.R. §1.97(e), each item of information contained in the information disclosure Statement was first cited in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

- ☐ Although it may not qualify under subsections (b) or (c), this statement qualifies under 37 C.F.R. §1.97, subsection (d) because:

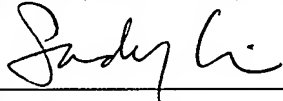
- (1) Pursuant to 37 C.F.R. §1.97(e), each item of information contained in the information disclosure Statement was first cited in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement;  
-- AND --
- (2) It is accompanied by the fee set forth in 37 C.F.R. §1.17(p);  
-- AND --

(3) It is filed on or before payment of the Issue Fee.

☒ **Fee Authorization.** This IDS is being filed after the mailing date of the first Office Action and after three months of the filing date, but prior to the Notice of Allowance or Final Office Action.

The Commissioner is authorized to deduct \$180.00 from the undersigned's Deposit Account No. 50-2257. Please deduct any additional fees from, or credit any overpayment to the above-noted Deposit Account.

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on September 10, 2007.



Sandy Kim

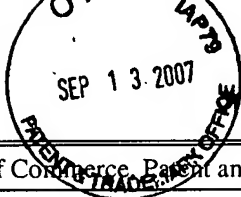
9/16/07

Date of Signature

Respectfully submitted,



Tom Chen  
Attorney for Applicants  
Reg. No. 42,406



|  |    |                 |                 |               |                        |          |                            |    |
|--|----|-----------------|-----------------|---------------|------------------------|----------|----------------------------|----|
| U.S. Department of Commerce, Patent and Trademark Office   |    |                 |                 |               | Atty Docket No.        |          | Serial No.                 |    |
|  |    |                 |                 |               | AB-1355 US             |          | 10/799,396                 |    |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT  |    |                 |                 |               | Applicant(s)           |          |                            |    |
| (Use several sheets if necessary)  |    |                 |                 |               | Young-Joon Rhee et al. |          |                            |    |
|  |    |                 |                 |               | Filing Date            |          | Group                      |    |
|  |    |                 |                 |               | March 12, 2004         |          | 2871                       |    |
| U.S. Patent Documents  |    |                 |                 |               |                        |          |                            |    |
| *Examiner Initial  |    | Document Number | Date            | Name          | Class                  | Subclass | Filing Date If Appropriate |    |
|  | AA | 2002-0012083    | 01/31/2002      | Tanaka et al. |                        |          | 03/05/2004                 |    |
|  | AB |                 |                 |               |                        |          |                            |    |
|  | AC |                 |                 |               |                        |          |                            |    |
|  | AD |                 |                 |               |                        |          |                            |    |
|  | AE |                 |                 |               |                        |          |                            |    |
|  | AF |                 |                 |               |                        |          |                            |    |
| Foreign Patent Documents   |    |                 |                 |               |                        |          |                            |    |
|  |    |                 |                 |               |                        |          | Translation                |    |
|  |    | Document        | Date            | Country       | Class                  | Subclass | Yes                        | No |
|  | AG | 11-212060       | 08/06/99        | Japan         |                        |          | Abstract                   |    |
|  | AH | 11-295717       | 10/29/99        | Japan         |                        |          | Abstract                   |    |
|  | AI | 10-010517       | 01/16/98        | Japan         |                        |          | Abstract                   |    |
|  | AJ | CN1354383       | 06/19/2002      | China         |                        |          | Abstract                   |    |
| OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)   |    |                 |                 |               |                        |          |                            |    |
|  | AK |                 |                 |               |                        |          |                            |    |
|  | AL |                 |                 |               |                        |          |                            |    |
| Examiner   |    |                 | Date Considered |               |                        |          |                            |    |
| <p>*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.</p> |    |                 |                 |               |                        |          |                            |    |